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10/09/01

Jc853 U.S. PTO

**UTILITY
PATENT APPLICATION
TRANSMITTAL**(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

E0378/7949/JHM/DPM

Anil G. Rijhsinghani et al

Express Mail Label No.

EL844533963US

Date of Deposit

10/9/01 *Robert License*

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09/27/2001

10/09/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ **Fee Transmittal Form**
(Submit an original, and a duplicate for fee processing)
2. ☐ **Applicant claims small entity status.**
See 37 CFR 1.27.
☐ **Statement filed in prior application,**
status still proper and desired.
3. ☒ **Specification -Total pages 20**
18 - pages description
1- pages abstract
1- pages claims 1- Total claims
4. ☐ **Drawing(s) (35 USC 113) - Total sheets**
☐ Informal ☒ Formal 5 - sheets
drawings (Figs. 1-5)
5. ☒ **Oath or Declaration 6- Total pages**
a. ☐ Newly executed (original or copy)
b. ☒ **Copy from a prior application (37 CFR 1.63(d))**
(for continuation/divisional with Box 18 completed)
[Note Box 6 below]
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ **Incorporation by Reference**
(usable if Box 5b is checked)
The entire disclosure of the prior application,
from which a copy of the oath or declaration is
supplied under Box 5b, is considered as being
part of the disclosure of the accompanying
application and is hereby incorporated by
reference therein. See 19.
7. ☒ **Application Data Sheet, See 37 CFR 1.76**

ADDRESS

TO:

Box Patent Application
Commissioner for Patents
Washington, DC 20231

8. ☐ **CD-ROM or CD-R, in duplicate, large table**
or Computer Program (Appendix)
9. ☐ **Nucleotide and/or Amino Acid Sequence**
Submission (if applicable, all necessary)
 - a. ☐ **Computer Readable Form (CRF)**
 - b. ☐ **Specification Sequence Listing on:**
 - i. ☐ **CD-ROM or CD-R (2 copies); or**
 - ii. ☐ **paper (identical to computer copy)**
 - c. ☐ **Statement verifying identity of above copies**

ACCOMPANYING APPLICATION PARTS

10. ☐ **Assignment Papers/cover sheet &**
documents(s)
11. ☒ **37 CFR 3.73(b) Statement**
(when there is an assignee)
☒ **Power of Attorney**
12. ☐ **English Translation of Document (if applicable)**
13. ☐ **Information Disclosure Statement/PTO-1449**
☐ **Copies of IDS Citations**
14. ☒ **Preliminary Amendment**
15. ☒ **Return Receipt Postcard (MPEP 503)**
(Should be specifically itemized)
16. ☐ **Certified Copy of Priority Document(s)**
(if foreign priority is claimed)
17. ☐ **Request and Certification Under 35 U.S.C.**
§122(b)(2)(B)(ii)
18. ☐ **Other:**

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the body of the application, or a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation of prior application No.: 09/006,241, filed on January 13, 1998, entitled **Network Switch With Panic Mode** and issued on October 9, 2001 as U.S. Patent No. 6,301,224.

☒ Cancel in this application claim 1 and add claims 2-20, as specified by the Preliminary Amendment filed herewith, before calculating the filing fee.

☒ Amend the specification by inserting before the first line of the specification on page 1, after the title, the following:

--This application is a ☒ Continuation of prior application No.: 09/006,241, filed on January 13, 1998, entitled **Network Switch With Panic Mode** now Allowed.--

Prior application information: Examiner Hyun, S. Group Art Unit: 2663

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a

20. CORRESPONDENCE ADDRESS

Correspondence address below

CUSTOMER NUMBER:



23628

OR

ATTORNEY'S NAME	James H. Morris, Reg. No. 34,681				
FIRM NAME	Wolf, Greenfield & Sacks, P.C.				
ADDRESS	600 Atlantic Avenue				
CITY	Boston	STATE	MA	ZIP	02210
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20. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Daniel P. McLoughlin, Reg. No. 46,066
SIGNATURE	
DATE	10/09/01

Inventor or Identifier: Anil G. Rihhsinghani et al.

Serial No: Not yet assigned

Filed: Herewith

For: Network Switch With Panic Mode

CHECK BOX, if applicable:

☐ DUPLICATE

Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	19-20=	0 x	\$18	= \$ 0.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	3-3=	0 x	\$84	= \$ 0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$280	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 740.00
	Total of above Calculations =				\$ 740.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$
	Assignment Recordation Fee (if any)				\$
	Other Fees (if any).				\$
	TOTAL =				\$ 740.00

1. A check in the amount of \$740.00 is enclosed.

General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 or ☒ 1.17, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☒ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☒ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

Daniel P. McLoughlin

Daniel McLoughlin, Reg. No. 46,066
 Wolf, Greenfield & Sacks, P.C.
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 (617) 720-3500
 Attorneys of Record

Docket No. E0378/7949/JHM/DPM
 Date: October 9, 2001

CERTIFICATE UNDER 37 CFR 3.73(b)

Applicant: Anil G. Rijhsinghani and Paul G. Koning

Application No.: Not yet assigned Filed: Herewith

Entitled: NETWORK SWITCH WITH PANIC MODE

Enterasys Networks, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title, in the patent application identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached

OR

B. ☒ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: Anil G. Rijhsinghani and Paul G. Koning To: Digital Equipment Corporation

The document was recorded in the Patent and Trademark Office at

Reel 8964 Frame 0524, or for which a copy thereof is attached.

2. From: Digital Equipment Corporation To: Cabletron Systems, Inc.

The document was recorded in the Patent and Trademark Office at

Reel 9266 Frame 0721, or for which a copy thereof is attached.

3. From: Cabletron Systems, Inc. To: Enterasys Networks, Inc.

The document was recorded in the Patent and Trademark Office at

Reel 011219 Frame 0376, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

10/09/01
Date

Daniel P. McLoughlin
Signature

Daniel P. McLoughlin

Typed or Printed Name

Agent

Title